

**EXECUTIVE SUMMARY OF SELECTED DR-4031 LARGE PROJECT WORKSHEET REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE**

(09/08/2011–09/11/2011 Remnants of TROPICAL STORM LEE Flood Damage to Binghamton-Johnson City Joint Sewage Treatment Plant {Vestal [Broome County], New York})

		in addition to Net Amount Unpaid/Missing <sup>†</sup> , Remaining/as yet to be Requested for Damages												
<i>see page 2</i> - for <b>Aging Analysis</b>														
PW <sup>†</sup> #	PW <sup>†</sup> NAME	FEMA-Obligated Amount (for Damages)	Amount of Reimbursement Requests	Requested for Damages	Requested for DAC*	Amount Received	Received for Damages	Received for DAC*	FEMA \$ Received	NYS-DHSES \$ Received	Net Amount Unpaid/Missing	for Damages	for DAC*	
<a href="#">02150v0</a> <a href="#">page 3</a>	Terminal Pump Station (TPS)	\$ 2,272,243.44	\$ 2,567,439.36	\$ 2,272,243.44	\$ 295,195.92	\$ 2,567,439.36	\$ 2,272,243.44	\$ 295,195.92	\$ 1,925,579.51	\$ 641,859.85	\$ -	\$ -	\$ -	\$ -
<a href="#">02268v1</a> <a href="#">page 4</a>	Building 4 - Digester Complex	\$ 3,035,523.92	\$ 3,148,140.47	\$ 3,035,523.92	\$ 112,616.55	\$ 3,148,140.48	\$ 3,035,523.93	\$ 112,616.55	\$ 2,361,105.36	\$ 787,035.12	\$ (0.01) <sup>**</sup>	\$ (0.01)	\$ -	\$ -
<a href="#">02499v1</a> <a href="#">page 5</a>	CEPT (Chemically-Enhanced Primary Treatment)	\$ 2,926,611.71 <sup>+</sup>	\$ 2,956,394.96	\$ 2,926,611.71	\$ 29,783.25	\$ 935,721.00	\$ 905,937.75	\$ 29,783.25	\$ 701,790.75	\$ 233,930.25	\$ 2,020,673.96 <sup>**</sup>	\$ 2,020,673.96	\$ -	\$ -
<a href="#">02500v1</a> <a href="#">page 6</a>	SCADA 1 - Primary Treatment & Solids	\$ 52,459.46	\$ 52,459.46	\$ 52,459.46	\$ -	\$ 52,459.46	\$ 52,459.46	\$ -	\$ 39,344.60	\$ 13,114.86	\$ -	\$ -	\$ -	\$ -
<a href="#">02501v0</a> <a href="#">page 7</a>	Primary Power Distribution	\$ 89,746.00	\$ 106,679.75	\$ 89,702.57	\$ 16,977.18	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 106,679.75 <sup>**</sup>	\$ 89,702.57	\$ 16,977.18	\$ -
<a href="#">02504v1</a> <a href="#">pages 8-11</a>	HMP Site Wide - Flood Wall, etc.	\$ 22,577,273.00 <sup>+</sup>	\$ 34,649,410.95	\$ 34,151,187.55	\$ 498,223.40	\$ 16,195,613.16	\$ 15,813,649.76	\$ 381,963.40	\$ 12,146,709.89	\$ 4,048,903.27	\$ 18,453,797.79 <sup>**</sup>	\$ 18,337,537.79	\$ 116,260.00	\$ -
<a href="#">02507v1</a> <a href="#">page 12</a>	Primary Treatment & Solids	\$ 2,334,765.89 <sup>+</sup>	\$ 2,398,968.62	\$ 2,243,084.72	\$ 155,883.90	\$ 2,398,968.62	\$ 2,243,084.72	\$ 155,883.90	\$ 1,799,226.46	\$ 599,742.16	\$ -	\$ -	\$ -	\$ -
<a href="#">02512v0</a> <a href="#">page 13</a>	SCADA 2 - BAF	\$ 2,379,733.00	\$ 2,581,597.80	\$ 2,379,733.00	\$ 201,864.80	\$ 2,581,597.80	\$ 2,379,733.00	\$ 201,864.80	\$ 1,936,198.35	\$ 645,399.45	\$ -	\$ -	\$ -	\$ -
<a href="#">02554v0</a> <a href="#">page 14</a>	Building 1 - Compost Facility (Alternate Project <sup>§§</sup> )	\$ 410,373.90	\$ 456,976.40	\$ 410,373.90	\$ 46,602.50	\$ 456,976.39	\$ 410,373.89	\$ 46,602.50	\$ 342,732.30	\$ 114,244.09	\$ 0.01 <sup>**</sup>	\$ 0.01	\$ -	\$ -
<a href="#">02555v1</a> <a href="#">page 15</a>	BAF (Biological Aerated Filtration Complex)	\$ 3,692,638.00	\$ 3,547,849.21	\$ 3,227,822.91	\$ 320,026.30	\$ 3,547,849.21	\$ 3,227,822.91	\$ 320,026.30	\$ 2,660,886.91	\$ 886,962.30	\$ -	\$ -	\$ -	\$ -
<a href="#">02573v0</a> <a href="#">page 16</a>	Valve Gearboxes	\$ 897,679.00	\$ 938,162.40	\$ 897,679.00	\$ 40,483.40	\$ 938,162.40	\$ 897,679.00	\$ 40,483.40	\$ 703,621.81	\$ 234,540.59	\$ -	\$ -	\$ -	\$ -
<b>TOTALS</b>		<b>\$ 40,669,047.32</b>	<b>\$ 53,404,079.38</b>	<b>\$ 51,686,422.18</b>	<b>\$ 1,717,657.20</b>	<b>\$ 32,822,927.88</b>	<b>\$ 31,238,507.86</b>	<b>\$ 1,584,420.02</b>	<b>\$ 24,617,195.94</b>	<b>\$ 8,205,731.94</b>	<b>\$ 20,581,151.50</b>	<b>\$ 20,447,914.32</b>	<b>\$ 133,237.18</b>	<b>\$ -</b>
									75.00000%	25.00000%				

<sup>†</sup> - "PW" is an acronym for "Project Worksheet", the primary form used by FEMA to document a recovery Project (including the Project's location, damage description, dimensions, scope of work, and cost estimate).

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount; amounts in **bold red** in the "Net Amount Unpaid/Missing" column include Progress Payment Requests less than the 75% "cap", the request[s] for which has[have] been outstanding for more than 180 days.

<sup>§</sup> - consists of \$1,096,532.00 for Damages plus \$733,241.00 for a Hazard Mitigation Proposal [HMP]. Via Final Close-Out Audit, reimbursement of additional actual costs was requested, resulting in approval by FEMA for obligation of \$442,470.44 in additional Damages.

\*\* - the negative "Net Amount Unpaid" consists of a \$0.01 overpayment received as to PW-02268 (which offsets/balances to the \$0.01 underpayment as to PW-02554) and likely results from rounding.

<sup>+</sup> - via Final Close-Out Audit, reimbursement of additional actual costs was requested.

<sup>§§</sup> - When approved by FEMA, an "Alternate Project" may be undertaken by an Applicant when a flood-damaged facility will not be built back to the same design and/or function/purpose as existed pre-disaster. In such cases, reimbursement is limited to 90% of the damages determined by FEMA to have been sustained.

**AGING ANALYSIS FOR SELECTED DR-4031 LARGE PROJECT WORKSHEET REIMBURSEMENT PROGRESS PAYMENTS RECEIVED/OWED**  
**(09/08/2011-09/11/2011 TROPICAL STORM LEE Flood Damage to Binghamton-Johnson City Joint Sewage Treatment Plant {Vestal [Broome County], New York})**

PW <sup>1</sup> #	PW <sup>1</sup> NAME		RECEIVED AND ALLOCATED (in relation to the corresponding PPR Submittal Date <sup>1</sup> )							NOT YET RECEIVED (in relation to the corresponding PPR Submittal Date <sup>1</sup> )												
			<0 days	1 - 179 days	180 - 364 days	365 - 550 days	551 - 1,095 days	1,096 - 1,640 days	> 1,640 days	1 - 179 days	180 - 364 days	365 - 549 days	550 - 1,095 days	1,096 - 1,640 days	> 1,640 days							
02150v0 <a href="#">page 3</a>	Terminal Pump Station (TPS)	US	\$ 185,354.29	\$ 1,123,411.82	\$ -	\$ 62,612.49	\$ 554,200.91															
		NY	\$ 61,784.76	\$ 374,470.62	\$ -	\$ 20,870.83	\$ 184,733.64															
02268v1 <a href="#">page 4</a>	Building 4 - Digester Complex	US	\$ 58,830.33	\$ 546,866.81	\$ 44,673.79	\$ 357,371.55	\$ 1,296,371.62	\$ -	\$ 56,991.26													
		NY	\$ 19,610.11	\$ 182,288.94	\$ 14,891.26	\$ -	\$ 551,247.73	\$ -	\$ 18,997.08													
02499v1 <a href="#">page 5</a>	CEPT (Chemically-Enhanced Primary Treatment)	US	\$ -	\$ 701,790.75	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
		NY	\$ -	\$ 233,930.25	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
02500v1 <a href="#">page 6</a>	SCADA 1 - Primary Treatment & Solids	US	\$ -	\$ 39,344.60	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
		NY	\$ -	\$ 13,114.86	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
02501v0 <a href="#">page 7</a>	Primary Power Distribution	US	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
		NY	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
02504v1 <a href="#">pages 8-11</a>	HMP Site Wide - Flood Wall, etc.	US	\$ 444,535.30	\$ 11,275,692.07	\$ 426,482.52	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
		NY	\$ 125,362.05	\$ 1,151,250.35	\$ 1,960,429.04	\$ 706,908.33	\$ 104,953.50	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
02507v1 <a href="#">page 12</a>	Primary Treatment & Solids	US	\$ 200,743.22	\$ 799,129.47	\$ 339,388.22	\$ -	\$ 428,206.35	\$ -	\$ 31,759.20													
		NY	\$ 66,914.42	\$ 142,415.23	\$ 113,129.40	\$ 123,961.26	\$ 142,735.45	\$ -	\$ 10,586.40													
02512v0 <a href="#">page 13</a>	SCADA 2 - BAF	US	\$ -	\$ 996,187.12	\$ 156,416.99	\$ -	\$ 778,168.68	\$ -	\$ 5,425.56													
		NY	\$ -	\$ 197,280.95	\$ 52,138.99	\$ 134,781.42	\$ 259,389.57	\$ -	\$ 1,808.52													
02554v0 <a href="#">page 14</a>	Building 1 - Compost Facility (Alternate Project <sup>55</sup> )	US	\$ -	\$ -	\$ 247,864.38	\$ -	\$ 77,647.16	\$ 17,220.76	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
		NY	\$ -	\$ -	\$ 76,711.09	\$ 5,910.37	\$ -	\$ 31,622.63	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 0.01			
02555v1 <a href="#">page 15</a>	BAF (Biological Aerated Filtration Complex)	US	\$ -	\$ 168,915.18	\$ 871,140.72	\$ 348,388.14	\$ 115,854.56	\$ 1,040,304.00	\$ 116,284.31													
		NY	\$ -	\$ -	\$ 87,136.31	\$ 259,549.00	\$ 154,747.56	\$ 346,768.00	\$ 38,761.43													
02573v0 <a href="#">page 16</a>	Valve Gearboxes	US	\$ -	\$ 341,314.01	\$ 30,362.55	\$ -	\$ 331,945.25															
		NY	\$ -	\$ 72,065.02	\$ 10,120.85	\$ 41,706.31	\$ 110,648.41															
<b>TOTALS</b>		US	\$ 889,463.14	\$ 15,992,651.83	\$ 2,116,329.17	\$ 768,372.18	\$ 3,582,394.53	\$ 1,057,524.76	\$ 210,460.33	\$ -	\$ 7,810,581.90	\$ -	\$ 7,253,973.32	\$ 214,684.42	\$ 156,623.98							
		NY	\$ 273,671.34	\$ 2,366,816.22	\$ 2,314,556.94	\$ 1,293,687.52	\$ 1,508,455.86	\$ 378,390.63	\$ 70,153.43	\$ -	\$ 2,603,527.29	\$ -	\$ 2,417,991.11	\$ 71,561.48	\$ 52,208.01							
<b>GRAND TOTALS</b>				US	\$ 24,617,195.94		NY	\$ 8,205,731.94		=	GRAND TOTAL RECEIVED	\$ 32,822,927.88		US	\$ 15,435,863.62		NY	\$ 5,145,287.89		=	GRAND TOTAL PENDING	\$ 20,581,151.51

<sup>1</sup> - "PW" is an acronym for "Project Worksheet", the primary form used by FEMA to document a recovery Project (including the Project's location, damage description, dimensions, scope of work, and cost estimate).  
<sup>††</sup> - The \$58,830.33 includes a \$0.01 overpayment [a] (believed to have resulted from rounding). This +\$0.01 "offsets"/balances with a -\$0.01 underpayment [b] (also believed to have resulted from rounding) as to PW-02554 (Building 1 - Compost Facility), which is reflected as "Not Yet Received", above.  
<sup>55</sup> - When approved by FEMA, an "Alternate Project" may be undertaken by an Applicant when a flood-damaged facility will not be built back to the same design and/or function/purpose as existed pre-disaster. In such cases, reimbursement is limited to 90% of the damages determined by FEMA to have been sustained.  
<sup>1</sup> - In order for a Progress Payment Request [PPR] to be submitted to NYS-DHSES, among other things, [i] the work for which reimbursement is sought must be completed and invoiced, [ii] the invoice[s] pertaining to the work must have been paid, and [iii] proof of payment must be obtained and submitted together with the invoice[s] (and other supporting documentation) in an organized format.

**DR-4031 PW-02150v0 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE**

(PW-02150v0: Terminal Pumping Station [TPS])

Category: **F** (Permanent Repair Work [Public Utility])  
 + **HMP** (Hazard Mitigation Project)

Obligated Amount (for Damages): **\$ 1,096,532.00**  
 + (for HMP): **\$ 1,175,711.44**  
**\$ 2,272,243.44** (note: Via Final Close-Out Audit, reimbursement of additional actual costs was requested and approved).

PPR <sup>†</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **	for Damages	for DAC*
#1	\$ 299,617.90	\$ 264,597.63	\$ 35,020.27	4/4/2013	▼	▼	▼							
" (correction)	\$ (150.00)	\$ -	\$ (150.00)	n/a	\$ 299,317.90	\$ 264,447.63	\$ 34,870.27	05/06/2013	32	\$ 224,488.42	\$ 74,829.48	\$ 150.00	\$ 150.00	\$ -
#2	\$ 242,436.48	\$ 214,849.98	\$ 27,586.50	4/6/2015	▼	▼	▼							
" (adjustment by NYS-DHSES)	\$ (190.00)	\$ -	\$ (190.00)	n/a	\$ 242,246.48	\$ 214,849.98	\$ 27,396.50	07/27/2015	112	\$ 181,684.86	\$ 60,561.62	\$ -	\$ -	\$ -
n/a (\$\$ was not yet requested)	\$ -	\$ -	\$ -	n/a	\$ 99,772.63	\$ 99,772.63	\$ -	07/27/2015	(126)	\$ 74,829.47	\$ 24,943.16	\$ (99,772.63)	\$ (99,772.63)	\$ -
#3	\$ 359,409.51	\$ 343,715.76	\$ 15,693.75	11/30/2015	\$ 176,303.56	\$ 160,609.81	\$ 15,693.75	04/18/2016	140	\$ 132,227.67	\$ 44,075.89	\$ 83,333.32	\$ 83,333.32	\$ -
#4	\$ 780,014.51	\$ 749,480.01	\$ 30,534.50	11/1/2016	\$ 1,011,014.24	\$ 980,479.74	\$ 30,534.50	12/22/2016	388, then 51	\$ 758,260.68	\$ 252,753.56	(cumulatively) \$ (147,516.41) **	(cumulatively) \$ (147,516.41)	\$ -
#5 (closeout)	\$ 1,648,619.28	\$ 1,440,376.98	\$ 208,242.30	10/25/2019	\$ -	\$ -	\$ -			\$ -	\$ -	cumulatively \$ 1,501,102.87 **	cumulatively \$ 1,292,860.57	\$ 208,242.30
FIR (closeout)	\$ (762,318.32)	\$ (740,776.92)	\$ (21,541.40)		\$ 738,784.55	\$ 552,083.65	\$ 186,700.90	09/24/2021	700	\$ 554,088.41	\$ 184,696.14	\$ -	\$ -	\$ -
<b>TOTALS</b>	<b>\$ 2,567,439.36</b>	<b>\$ 2,272,243.44</b>	<b>\$ 295,195.92</b>		<b>\$ 2,567,439.36</b>	<b>\$ 2,272,243.44</b>	<b>\$ 295,195.92</b>			<b>\$ 1,925,579.51</b> 75.00000%	<b>\$ 641,859.85</b> 25.00000%	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

<sup>†</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

in addition to  
 Net Amount  
 Unpaid/Missing,  
 Remaining/  
 as yet Requested  
 for Damages  
 \$ -

DR-4031 PW-02268v1 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE

(PW-02268v1: Building 4 - Digester Complex)

Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): \$ 3,035,523.92

(This PW was pursued as an "Improved Project"<sup>†</sup>)

PPR <sup>††</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed until Paid	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **	for Damages	for DAC*
n/a (\$\$ was not yet requested)	▼	▼	▼	n/a	\$ 78,440.43	\$ 78,440.43	\$ -	07/27/2015	(126)	\$ 58,830.32	\$ 19,610.11	\$ (78,440.43) <sup>1</sup>	\$ (78,440.43)	\$ -
#1	\$ 630,924.17	\$ 550,445.06	\$ 80,479.11	11/30/2015	\$ 357,371.55	\$ 276,892.44	\$ 80,479.11	03/27/2017	483, then 2,454	\$ 357,371.55	\$ -	(cumulatively) \$ 195,112.19	(cumulatively) \$ 195,112.19	\$ -
" (installment)	\$ -	\$ -	\$ -	11/30/2015	\$ 119,123.85	\$ 119,123.85	\$ -	03/26/2018	847, then 2,454	\$ -	\$ 119,123.85	(cumulatively) \$ 75,988.34	(cumulatively) \$ 75,988.34	\$ -
#2	\$ 1,728,495.50	\$ 1,635,032.69	\$ 93,462.81	12/23/2019	\$ -	\$ -	\$ -	n/a	970	\$ -	\$ -	\$ 1,728,495.50 **	\$ 1,635,032.69	\$ 93,462.81
FIR (closeout)	\$ 788,720.80	\$ 850,046.17	\$ (61,325.37) <sup>2</sup>	5/23/2022	\$ -	\$ -	\$ -	n/a	88 then	\$ -	\$ -	(cumulatively) \$ 2,593,204.64	(cumulatively) \$ 2,654,530.01	\$ (61,325.37)
\$\$ (installment)				n/a	\$ 2,533,639.59	\$ 2,501,502.15	\$ 32,137.44	08/19/2022		\$ 1,900,229.69	\$ 633,409.90	(cumulatively) \$ 59,565.05	(cumulatively) \$ 59,565.05	\$ -
\$\$ (installment)				n/a	\$ 59,565.06	\$ 59,565.06	\$ -	11/28/2022	189	\$ 44,673.80	\$ 14,891.26	(cumulatively) \$ (0.01)	(cumulatively) \$ (0.01)	\$ -
<b>TOTALS</b>	<b>\$ 3,148,140.47</b>	<b>\$ 3,035,523.92</b>	<b>\$ 112,616.55</b>		<b>\$ 3,148,140.48</b>	<b>\$ 3,035,523.93</b>	<b>\$ 112,616.55</b>			<b>\$ 2,361,105.36</b> 75.00000%	<b>\$ 787,035.12</b> 25.00000%	<b>\$ (0.01)</b> (overpayment due to rounding)	<b>\$ (0.01)</b> (overpayment due to rounding)	<b>\$ -</b>

<sup>†</sup> - an "Improved Project" involves restoring and/or building-back the applicable infrastructure to resume its prior function, but with updated engineering/design and/or to a more modern state-of-the-art with updated equipment/configuration.

<sup>††</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

<sup>1</sup> - the \$78,440.43 then-unrequested [when received] Progress Payment was applied as an advance toward PPR #1.

<sup>2</sup> - the NYS-DHSES Final Inspection Report (FIR) made a \$61,325.37 reduction/disallowance to the Applicant's requested DAC (this is a better result than the draft FIR, which had proposed a \$114,376.86 reduction/disallowance to the Applicant's requested DAC).

in addition to  
Net Amount  
Unpaid/Missing,  
Remaining/  
as yet Requested  
for Damages  
\$ -

**DR-4031 PW-02499v1 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE**

(PW-02499v1: Chemically-Enhanced Primary Treatment [CEPT]) **Category: B** (Emergency Protective Measure [Public Health])

Obligated Amount (for Damages): **\$ 2,926,611.71**<sup>1</sup>

PPR <sup>†</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing for Damages	for DAC*
#1	\$ 821,912.33	\$ 783,709.83	\$ 38,202.50	3/5/2013	\$ 701,790.75	\$ 663,588.25	\$ 38,202.50	04/24/2013	50	\$ 526,343.06	\$ 175,447.69	\$ 120,121.58 **	\$ 120,121.58
FIR (closeout)	\$ 2,134,482.63	\$ 2,142,901.88	\$ (8,419.25) <sup>2</sup>	8/1/2022	\$ -	\$ 8,419.25	\$ (8,419.25) <sup>2</sup>	n/a	n/a	\$ -	\$ -	(cumulatively) \$ 2,254,604.21 **	(cumulatively) \$ 2,254,604.21
\$\$ (installment)				n/a	\$ 233,930.25	\$ 233,930.25	\$ -	12/19/2022	140, then 211 (so far)	\$ 175,447.69	\$ 58,482.56	(cumulatively) \$ 2,020,673.96	(cumulatively) \$ 2,020,673.96
<b>TOTALS</b>	<b>\$ 2,956,394.96</b>	<b>\$ 2,926,611.71</b>	<b>\$ 29,783.25</b>		<b>\$ 935,721.00</b>	<b>\$ 905,937.75</b>	<b>\$ 29,783.25</b>			<b>\$ 701,790.75</b> 75.00000%	<b>\$ 233,930.25</b> 25.00000%	<b>\$ 2,020,673.96</b>	<b>\$ 2,020,673.96</b>

<sup>†</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

<sup>1</sup> - an increase in the "Obligated Amount" of \$2,142,901.63 (*tentative amount*) was requested as to 2013 - 2019 CEPT Chemical Costs and other increased Project Costs. Increased Project Costs of \$1,990,890.71 have been approved.

<sup>2</sup> - the NYS-DHSES Final Inspection Report (FIR) made an \$8,419.25 reduction/disallowance to the Applicant's requested DAC.

in addition to  
Net Amount  
Unpaid/Missing, **Remaining/  
as yet Requested  
for Damages**

\$ -

**DR-4031 PW-02500v1 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE**

(PW-02500v1: SCADA 1 - Primary Treatment & Solids)

Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): \$ 52,459.46

(This PW was pursued as an "Improved Project"<sup>†</sup>)

PPR <sup>††</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **	for Damages	for DAC*
v0	\$ 31,509.81	\$ 31,509.81	\$ -	09/17/2012	\$ 31,509.81	\$ 31,509.81	\$ -	10/09/2012	22	\$ 23,632.36	\$ 7,877.45	\$ -	\$ -	\$ -
v1	\$ 20,949.65	\$ 20,949.65	\$ - <sup>1</sup>	03/26/2013	\$ 20,949.65	\$ 20,949.65	\$ -	05/13/2013 <sup>2</sup>	48	\$ 15,712.24	\$ 5,237.41	\$ -	\$ -	\$ -
<b>TOTALS</b>	<b>\$ 52,459.46</b>	<b>\$ 52,459.46</b>	<b>\$ -</b>		<b>\$ 52,459.46</b>	<b>\$ 52,459.46</b>	<b>\$ -</b>			<b>\$ 39,344.60</b> 75.00001%	<b>\$ 13,114.86</b> 24.99999%	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

<sup>†</sup> - an "Improved Project" involves restoring and/or building-back the applicable infrastructure to resume its prior function, but with updated engineering/design and/or to a more modern state-of-the-art with updated equipment/configuration.

<sup>††</sup> - "PPR" is an acronym for "Project Payment Request". In the case of this PW, the FEMA/NYS-DHSES "versions" were accepted as PPRs, without separate documentation required or requested.

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

<sup>1</sup> - The FEMA Forms 90-91 for PW-02500 [v0 and v1] validate a gross \$79,692.49 eligible Project Cost, prior to required insurance reductions (as to Prior Disaster[s] reimbursements received as well as pre-disaster Flood Insurance in force). However, based on the net Project Cost *after* application of the insurance reductions, heretofore PW-02500 appears to have been administered as a Small Project (given the net Project Cost is less than the DR-4031 \$63,900.00 threshold for qualification as a Large Project. DAC totalling \$5,678.00 for consultant services from May 10, 2012 through September 14, 2012 has been documented, but no submission has been made yet to NYS-DHSES/FEMA under "Work Completed" item 5 of the FEMA Forms 90-91. This is a potential item of "unfinished business" which requires additional coordination/work prior to close-out of the Joint Sewage Board's DR-4031 Project Worksheets.

<sup>2</sup> - the Remittance Advice accompanying the \$20,949.65 Final Payment check was mis-captioned as being attributable to PW-02504. The mis-allocation was not definitively confirmed, and reallocated to PW-02500, until on or after February 17, 2023.

in addition to  
Net Amount  
Unpaid/Missing<sup>†</sup>,  
Remaining/  
as yet Requested  
for Damages  
\$ -

**DR-4031 PW-02501v0 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE**

(PW-02501v0: Primary Power Distribution)

Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): \$ 89,746.00

PPR <sup>†</sup> #	Amount of Reimbursement Request				Amount Received				# of Days Elapsed	FEMA \$\$ Received		NYS-DHSES \$\$ Received		Net Amount Unpaid/Missing **		
	Requested for Damages	Requested for DAC*	Date of Request		Received for Damages	Received for DAC*	Date Received					for Damages	for DAC*			
#1	\$ 67,309.50	\$ 50,332.32	\$ 16,977.18	12/23/2019	\$ -	\$ -	\$ -	n/a	1,163 (so far)	\$ -	\$ -	\$ 67,309.50 **	\$ 50,332.32	\$ 16,977.18		
FIR (closeout)	\$ 39,370.25	\$ 39,370.25	\$ -	7/28/2022	\$ -	\$ -	\$ -	n/a	215 (so far)	\$ -	\$ -	(cumulatively) \$ 106,679.75	(cumulatively) \$ 89,702.57	(cumulatively) \$ 16,977.18		
<b>TOTALS</b>	<b>\$ 106,679.75</b>	<b>\$ 89,702.57</b>	<b>\$ 16,977.18</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>			<b>\$ -</b>	<b>\$ -</b>	<b>\$ 106,679.75</b>	<b>\$ 89,702.57</b>	<b>\$ 16,977.18</b>		

† - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

in addition to  
Net Amount  
Unpaid/Missing,  
Remaining/  
as yet Requested  
for Damages

\$ -

**DR-4031 PW-02504v1 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE**

(PW-02504v1: HMP Site-Wide [Flood Wall, etc.]

Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): \$ 22,577,273.00 †

+ HMP (Hazard Mitigation Project)

† - **note:** Via Final Close-Out Audit, reimbursement of additional actual costs has been requested.

PPR <sup>†</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **	for Damages	for DAC*
#1	\$ 357,595.30	\$ 343,065.25	\$ 14,530.05	5/29/2013 <sup>1</sup>	\$ 336,645.65	\$ 322,115.60	\$ 14,530.05	06/14/2013 <sup>1</sup>	16, then (615)	\$ 336,645.65	\$ -	\$ 20,949.65 <sup>1</sup>	\$ 20,949.65	\$ -
#2	\$ 811,703.70	\$ 774,872.70	\$ 36,831.00	2/19/2015	\$ 112,215.21	\$ 75,384.21	\$ 36,831.00	07/27/2015	789, then 158	\$ -	\$ 112,215.21	(cumulatively) \$ 720,438.14	(cumulatively) \$ 720,438.14	\$ -
n/a (\$\$ was not yet requested)	▼	▼	▼	n/a	\$ 362,043.64	\$ 362,043.64	\$ -	07/27/2015	158	\$ 271,532.73	\$ 90,510.91	(cumulatively) \$ 358,394.50 <sup>2</sup>	(cumulatively) \$ 358,394.50	\$ -
n/a (\$\$ was not yet requested)	▼	▼	▼	n/a	\$ 859,842.68	\$ 859,842.68	\$ -	07/27/2015	158, then (169)	\$ 644,882.01	\$ 214,960.67	(cumulatively) \$ (501,448.18) <sup>2</sup>	(cumulatively) \$ (501,448.18)	\$ -
#3	\$ 433,490.76	\$ 381,969.32	\$ 51,521.44	1/12/2016	n/a	\$ (51,521.44)	\$ 51,521.44	n/a	n/a	\$ -	\$ -	(cumulatively) \$ (67,957.42)	(cumulatively) \$ (67,957.42)	\$ -
#4	\$ 753,632.73	\$ 723,330.23	\$ 30,302.50	7/20/2016	\$ 707,270.15	\$ 676,967.65	\$ 30,302.50	10/05/2016	(359), then 77	\$ 530,452.61	\$ 176,817.54	(cumulatively) \$ (21,594.84)	(cumulatively) \$ (21,594.84)	\$ -
#5	\$ 2,195,532.84	\$ 2,195,532.84	\$ -	9/12/2016	\$ 2,111,719.24	\$ 2,111,719.24	\$ -	12/07/2016	23, 86, then 156	\$ 1,583,789.43	\$ 527,929.81	(cumulatively) \$ 62,218.76	(cumulatively) \$ 62,218.76	\$ -
#6	\$ 659,275.15	\$ 651,757.65	\$ 7,517.50	11/3/2016	\$ 494,455.95	\$ 486,938.45	\$ 7,517.50	02/15/2017	156, then 104	\$ 494,455.95	\$ -	(cumulatively) \$ 227,037.96	(cumulatively) \$ 227,037.96	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 164,818.65	\$ 164,818.65	\$ -	04/02/2018	508, then 515	\$ -	\$ 164,818.65	(cumulatively) \$ 62,219.31	(cumulatively) \$ 62,219.31	\$ -
#7	\$ 1,688,339.47	\$ 1,688,339.47	\$ -	1/24/2017	\$ 1,266,254.15	\$ 1,266,254.15	\$ -	03/17/2017	52, then 146	\$ 1,266,254.15	\$ -	(cumulatively) \$ 484,304.63	(cumulatively) \$ 484,304.63	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 422,084.72	\$ 422,084.72	\$ -	03/26/2018	426	\$ -	\$ 422,084.72	(cumulatively) \$ 62,219.91	(cumulatively) \$ 62,219.91	\$ -
#8	\$ 716,366.66	\$ 693,566.50	\$ 22,800.16	3/28/2017	\$ 486,206.11	\$ 463,405.95	\$ 22,800.16	06/19/2017	83, then 176	\$ 486,206.11	\$ -	(cumulatively) \$ 292,380.46	(cumulatively) \$ 292,380.46	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 162,068.70	\$ 162,068.70	\$ -	03/26/2018	363, then 370	\$ -	\$ 162,068.70	(cumulatively) \$ 130,311.76	(cumulatively) \$ 130,311.76	\$ -

(continues on next the page)



PPR <sup>†</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **	for Damages	for DAC*
(continued from the prior page)														
#9	\$ 2,540,250.00	\$ 2,540,250.00	\$ -	4/27/2017	\$ 1,760,891.18	\$ 1,760,891.18	\$ -	09/20/2017	146	\$ 1,760,891.18	\$ -	(cumulatively) \$ 909,670.58	(cumulatively) \$ 909,670.58	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 586,963.73	\$ 586,963.73	\$ -	04/02/2018	340	\$ -	\$ 586,963.73	(cumulatively) \$ 322,706.85	(cumulatively) \$ 322,706.85	\$ -
#10	\$ 1,014,906.02	\$ 1,014,906.02	\$ -	6/14/2017	\$ 740,929.51	\$ 740,929.51	\$ -	09/20/2017	98, then 138	\$ 740,929.51	\$ -	(cumulatively) \$ 596,683.36	(cumulatively) \$ 596,683.36	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 246,976.50	\$ 246,976.50	\$ -	04/02/2018	292, then 285	\$ -	\$ 246,976.50	(cumulatively) \$ 349,706.86	(cumulatively) \$ 349,706.86	\$ -
#11	\$ 912,220.50	\$ 847,580.50	\$ 64,640.00	8/24/2017	\$ 684,165.38	\$ 619,525.38	\$ 64,640.00	10/30/2017	67, then 90	\$ 684,165.38	\$ -	(cumulatively) \$ 577,761.98	(cumulatively) \$ 577,761.98	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 228,055.12	\$ 228,055.12	\$ -	03/26/2018	214	\$ -	\$ 228,055.12	(cumulatively) \$ 349,706.86	(cumulatively) \$ 349,706.86	\$ -
#12	\$ 832,299.59	\$ 832,299.59	\$ -	9/18/2017	\$ 624,224.62	\$ 624,224.62	\$ -	11/22/2017	65, then 60	\$ 624,224.62	\$ -	(cumulatively) \$ 557,781.83	(cumulatively) \$ 557,781.83	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 208,074.87	\$ 208,074.87	\$ -	03/26/2018	189	\$ -	\$ 208,074.87	(cumulatively) \$ 349,706.96	(cumulatively) \$ 349,706.96	\$ -
#13	\$ 747,034.52	\$ 747,034.52	\$ -	10/4/2017	\$ 560,275.89	\$ 560,275.89	\$ -	11/17/2017	44	\$ 560,275.89	\$ -	(cumulatively) \$ 536,465.59	(cumulatively) \$ 536,465.59	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 186,758.63	\$ 186,758.63	\$ -	03/26/2018	173	\$ -	\$ 186,758.63	(cumulatively) \$ 349,706.96	(cumulatively) \$ 349,706.96	\$ -
#14	\$ 157,609.02	\$ 157,609.02	\$ -	10/12/2017	\$ 118,206.77	\$ 118,206.77	\$ -	11/28/2017	47, then 69	\$ 118,206.77	\$ -	(cumulatively) \$ 389,109.21	(cumulatively) \$ 389,109.21	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 39,402.25	\$ 39,402.25	\$ -	03/26/2018	165	\$ -	\$ 39,402.25	(cumulatively) \$ 349,706.96	(cumulatively) \$ 349,706.96	\$ -
#15	\$ 419,371.72	\$ 419,371.72	\$ -	10/23/2017	\$ 314,528.79	\$ 314,528.79	\$ -	12/12/2017	50, then 224	\$ 314,528.79	\$ -	(cumulatively) \$ 454,549.89	(cumulatively) \$ 454,549.89	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 104,842.93	\$ 104,842.93	\$ -	03/26/2018	154, then 458	\$ -	\$ 104,842.93	(cumulatively) \$ 349,706.96	(cumulatively) \$ 349,706.96	\$ -

(continues on the next page)

PPR <sup>†</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **	for Damages	for DAC*
(continued from the prior page)														
#16	\$ 908,847.93	\$ 841,500.93	\$ 67,347.00	3/15/2018	\$ 624,484.90	\$ 557,137.90	\$ 67,347.00	06/04/2018	81, then 148	\$ 624,484.90	\$ -	(cumulatively) \$ 634,069.99	(cumulatively) \$ 634,069.99	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 208,161.63	\$ 208,161.63	\$ -	01/24/2019	315	\$ -	\$ 208,161.63	(cumulatively) \$ 425,908.36	(cumulatively) \$ 425,908.36	\$ -
#17	\$ 630,818.06	\$ 563,193.06	\$ 67,625.00	5/21/2018	\$ 471,718.54	\$ 404,093.54	\$ 67,625.00	08/10/2018	81, then 168	\$ 471,718.54	\$ -	(cumulatively) \$ 585,007.88	(cumulatively) \$ 585,007.88	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 157,239.51	\$ 157,239.51	\$ -	01/24/2019	248, then 345	\$ -	\$ 157,239.51	(cumulatively) \$ 427,768.37	(cumulatively) \$ 427,768.37	\$ -
#18	\$ 625,151.17	\$ 581,131.17	\$ 44,020.00	8/1/2018	\$ 468,863.37	\$ 424,843.37	\$ 44,020.00	11/05/2018	96, 182, then 1,672 (so far)	\$ 468,863.37	\$ -	(cumulatively) \$ 584,056.17	(cumulatively) \$ 584,056.17	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 156,287.79	\$ 156,287.79	\$ -	05/03/2019	275, then 1,672 (so far)	\$ -	\$ 156,287.79	(cumulatively) \$ 427,768.38	(cumulatively) \$ 427,768.38	\$ -
#19	\$ 218,936.40	\$ 207,776.40	\$ 11,160.00	10/30/2018	\$ 164,202.30	\$ 153,042.30	\$ 11,160.00	01/30/2019	1,582 (so far)	\$ 164,202.30	\$ -	(cumulatively) \$ 482,502.48	(cumulatively) \$ 482,502.48	\$ -
" (balance)	\$ -	\$ -	\$ -	"	\$ 54,734.10	\$ 54,734.10	\$ -	05/03/2019	1,582 (so far)	\$ -	\$ 54,734.10	(cumulatively) \$ 427,768.38	(cumulatively) \$ 427,768.38	\$ -
#20 <small>(thru 12/31/2020)</small>	\$ 9,671,964.43	\$ 9,555,704.43	\$ 116,260.00	1/20/2021	\$ -	\$ -	\$ -	n/a	769 (so far)	\$ -	\$ -	(cumulatively) \$ 10,099,732.81 **	(cumulatively) \$ 9,983,472.81	\$ 116,260.00
FIR (closeout)	\$ 8,354,064.98	\$ 8,390,396.23	\$ (36,331.25) <sup>3</sup>	8/12/2022	\$ -	\$ 36,331.25	\$ (36,331.25) <sup>3</sup>	n/a	200 (so far)	\$ -	\$ -	(cumulatively) \$ 18,453,797.79	(cumulatively) \$ 18,337,537.79	\$ -
<b>TOTALS</b>	<b>\$ 34,649,410.95</b>	<b>\$ 34,151,187.55</b>	<b>\$ 498,223.40</b>		<b>\$ 16,195,613.16</b>	<b>\$ 15,813,649.76</b>	<b>\$ 381,963.40</b>			<b>\$ 12,146,709.89</b>	<b>\$ 4,048,903.27</b>	<b>\$ 18,453,797.79</b>	<b>\$ 18,337,537.79</b>	<b>\$ 116,260.00</b>
										75.00000%	25.00000%			

<sup>†</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

DR-4031 PW-02504v1 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE (continued)

(PW-02504v1: HMP Site-Wide [Flood Wall, etc.]

Category: F (Permanent Repair Work [Public Utility])  
+ HMP (Hazard Mitigation Project)

(continued from the prior page)

- <sup>1</sup> - the Remittance Advice accompanying the \$20,949.65 then-unrequested [when received 05/13/2013] Progress Payment was mis-captioned by the NYS Department of Taxation & Finance as attributable to PW-02504. On February 17, 2023, it was definitively established that this payment was attributable to PW-02500, and a reallocation was processed.
- <sup>2</sup> - the \$362,043.64 and \$112,215.21 then-unrequested [when received] Progress Payments were applied as an advance toward PPR #3, PPR #4, and PPR #5..
- <sup>3</sup> - the NYS-DHSES Final Inspection Report (FIR) made a \$36,331.25 reduction/disallowance to the Applicant's requested DAC.

in addition to  
Net Amount  
Unpaid/Missing, <sup>1</sup>  
Remaining/  
as yet Requested  
for Damages

\$ -

current through: 02/28/2023

**DR-4031 PW-02507v1 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE**

(PW-02507v1: Primary Treatment & Solids

Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): \$ 2,334,765.89<sup>3</sup>

(This PW was pursued as an "Improved Project"<sup>†</sup>)

PPR <sup>††</sup> #	Amount of Reimbursement Request				Amount Received				# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **		
	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	for Damages				for DAC*		
n/a (\$\$ was not yet requested)	\$ -	\$ -	n/a	\$ 37,753.50	\$ 37,753.50	\$ -	10/09/2012	(708)	\$ 28,315.12	\$ 9,438.38	\$ (37,753.50) <sup>1</sup>	\$ (37,753.50)	\$ -	
n/a (\$\$ was not yet requested)	\$ -	\$ -	n/a	\$ 229,701.24	\$ 229,701.24	\$ -	07/19/2013	(425)	\$ 172,275.93	\$ 57,425.31	\$ (229,701.24) <sup>1</sup>	\$ (229,701.24)	\$ -	
#1	\$ 655,769.63	\$ 565,684.00	9/17/2014	\$ 388,517.79	\$ 298,432.16	\$ 90,085.63	07/27/2015	313	\$ 291,388.34	\$ 97,129.45	\$ (202.90) <sup>2</sup>	\$ (202.90)	\$ -	
#2	\$ 538,393.55	\$ 502,456.80	11/28/2016	\$ 371,883.79	\$ 335,947.04	\$ 35,936.75	03/17/2017	(490), then 109	\$ 371,883.79	\$ -	(cumulatively) \$ 166,306.86 <sup>2</sup>	(cumulatively) \$ 166,306.86	\$ -	
" (balance)	\$ -	\$ -	11/28/2016	\$ 123,961.26	\$ 123,961.26	\$ -	03/26/2018	483, then 2,146	\$ -	\$ 123,961.26	(cumulatively) \$ 42,345.60	(cumulatively) \$ 42,345.60	\$ -	
#3	\$ 570,941.80	\$ 570,941.80	12/23/2019	\$ -	\$ -	\$ -	n/a	1,026	\$ -	\$ -	(cumulatively) \$ 613,287.40 <sup>**</sup>	(cumulatively) \$ 613,287.40	\$ -	
FIR (closeout)	\$ 633,863.64	\$ 604,002.12	5/23/2022	\$ -	\$ -	\$ -	n/a	144	\$ -	\$ -	(cumulatively) \$ 1,247,151.04	(cumulatively) \$ 1,217,289.52	\$ 29,861.52	
\$\$ (installment)			n/a	\$ 1,182,948.31	\$ 1,153,086.79	\$ 29,861.52	10/14/2022	(see above)	\$ 887,211.23	\$ 295,737.08	(cumulatively) \$ 64,202.73	(cumulatively) \$ 64,202.73	\$ -	
\$\$ (installment)			n/a	\$ 64,202.73	\$ 64,202.73	\$ -	01/05/2023	227	\$ 48,152.05	\$ 16,050.68	\$ -	\$ -	\$ -	
<b>TOTALS</b>	<b>\$ 2,398,968.62</b>	<b>\$ 2,243,084.72</b>	<b>\$ 155,883.90</b>	<b>\$ 2,398,968.62</b>	<b>\$ 2,243,084.72</b>	<b>\$ 155,883.90</b>			<b>\$ 1,799,226.46</b>	<b>\$ 599,742.16</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	
									75.00000%	25.00000%				

<sup>†</sup> - an "Improved Project" involves restoring and/or building-back the applicable infrastructure to resume its prior function, but with updated engineering/design and/or to a more modern state-of-the-art with updated equipment/configuration.

<sup>††</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

<sup>1</sup> - the \$37,753.50 and \$229,701.24 then-unrequested [when received] Progress Payments were applied as an advance toward PPR #1.

<sup>2</sup> - the \$202.90 overpayment was applied as an offset against the shortage in the 03/17/2017 Progress Payment [vs. the amount applied for via PPR #2].

<sup>3</sup> - the NYS-DHSES draft Final Inspection Report (FIR) made an additional \$91,681.17 insurance reduction/offset against the Applicant's "Obligated Amount" in relation to Previous Disaster Insurance Purchase Requirements and/or Actual or Anticipated Flood Insurance Proceeds in excess of the Flood Insurance actually in force as to flood-insurable buildings.

in addition to  
Net Amount  
Unpaid/Missing,  
Remaining/  
as yet Requested  
for Damages  
\$ -

DR-4031 PW-02512v0 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE

(PW-02512v0: SCADA 2 - BAF)

Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): \$ 2,379,733.00

(This PW was pursued as an "Improved Project" <sup>†</sup>)

PPR <sup>††</sup> #	Amount of Reimbursement Request			Date of Request	Amount Received			Date Received	# of Days Elapsed	FEMA \$\$ Received		NYS-DHSES \$\$ Received		Net Amount Unpaid/Missing **		
	Requested for Damages	Requested for DAC*			Received for Damages	Received for DAC*						for Damages	for DAC*			
#1	\$ 498,432.58	\$ 474,761.68	\$ 23,670.90	6/17/2016	\$ 491,741.40	\$ 468,070.50	\$ 23,670.90	11/08/2016	144, then 273, then 647	\$ 368,806.05	\$ 122,935.35	\$ 6,691.18	\$ 6,691.18	\$ -		
#2	\$ 546,359.75	\$ 497,883.50	\$ 48,476.25	11/15/2016	\$ 409,362.64	\$ 360,886.39	\$ 48,476.25	03/17/2017	122, then 2,148	\$ 409,362.64	\$ -	\$ 143,688.29	\$ 143,688.29	\$ -		
" (balance)	\$ -	\$ -	\$ -	11/15/2016	\$ 136,454.21	\$ 136,454.21	\$ -	03/26/2018	496, then 2,148	\$ -	\$ 136,454.21	(cumulatively) \$ 7,234.08	(cumulatively) \$ 7,234.08	\$ -		
#3	\$ 1,037,558.25	\$ 889,243.95	\$ 148,314.30	12/23/2019	\$ -	\$ -	\$ -	n/a	1,071	\$ -	\$ -	(cumulatively) \$ 1,044,792.33 **	(cumulatively) \$ 896,478.03	\$ 148,314.30		
FIR (closeout)	\$ 499,247.22	\$ 517,843.87	\$ (18,596.65) <sup>1</sup>	5/23/2022	\$ -	\$ 18,596.65	\$ (18,596.65) <sup>1</sup>	n/a		\$ -	\$ -	(cumulatively) \$ 1,544,039.55	(cumulatively) \$ 1,414,321.90	\$ 129,717.65		
\$\$ (installment)				n/a	\$ 1,342,174.75	\$ 1,193,860.45	\$ 148,314.30	10/03/2022	133	\$ 1,006,631.06	\$ 335,543.69	(cumulatively) \$ 201,864.80	(cumulatively) \$ 201,864.80	\$ -		
\$\$ (installment)				n/a	\$ 201,864.80	\$ 201,864.80	\$ -	11/28/2022	189	\$ 151,398.60	\$ 50,466.20	\$ -	\$ -	\$ -		
<b>TOTALS</b>	<b>\$ 2,581,597.80</b>	<b>\$ 2,379,733.00</b>	<b>\$ 201,864.80 <sup>1</sup></b>		<b>\$ 2,581,597.80</b>	<b>\$ 2,379,733.00</b>	<b>\$ 201,864.80</b>			<b>\$ 1,936,198.35</b>	<b>\$ 645,399.45</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>		
										75.00000%	25.00000%					

<sup>†</sup> - an "Improved Project" involves restoring and/or building-back the applicable infrastructure to resume its prior function, but with updated engineering/design and/or to a more modern state-of-the-art with updated equipment/configuration.

<sup>††</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

<sup>1</sup> - the NYS-DHSES Final Inspection Report (FIR) made an \$18,596.65 reduction/disallowance to the Applicant's requested DAC.

in addition to  
Net Amount  
Unpaid/Missing,  
Remaining/  
as yet Requested  
for Damages  
\$ -

DR-4031 PW-02554v0 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE

(PW-02554v0: Building 1 - Compost Facility [Alternate Project<sup>†</sup>]) Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): \$ 410,373.90 (@ 90% - Alternate Project<sup>†</sup>)

PPR <sup>††</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **	for Damages	for DAC*
#1	\$ 435,364.32	\$ 410,373.90	\$ 24,990.42	12/17/2015	\$ 306,844.35	\$ 305,265.50	\$ 1,578.85	08/02/2016	229, then	\$ 230,133.26	\$ 76,711.09	\$ 128,519.97 **	\$ 105,108.40	\$ 23,411.57
#2	\$ 23,641.50	\$ -	\$ 23,641.50	2/9/2018 (est.)	\$ 77,647.16	\$ 44,274.13	\$ 33,373.03	03/26/2018	830 then	\$ 77,647.16	\$ -	(cumulatively) \$ 74,514.31 **	(cumulatively) \$ 60,834.27	(cumulatively) \$ 13,680.04
" (Close-Out Audit)	\$ (2,029.42)	\$ -	\$ (2,029.42)	9/4/2018	n/a	\$ -	\$ -	n/a	n/a	\$ -	\$ -	n/a	\$ -	(cumulatively) \$ 11,650.62
" (installment)	\$ -	\$ -	\$ -	12/17/2015	\$ 34,951.88	\$ 34,951.88	\$ -	11/05/2018	1,054, then 269	\$ 34,951.88	\$ -	(cumulatively) \$ 37,533.01	(cumulatively) \$ 25,882.39	(cumulatively) \$ 11,650.62
" (installment)	\$ -	\$ -	\$ -	12/17/2015	\$ 25,882.38	\$ 25,882.38	\$ -	01/24/2019	1,134	\$ -	\$ 25,882.38	(cumulatively) \$ 11,650.63	(cumulatively) \$ 0.01	(cumulatively) \$ 11,650.62
" (installment)	\$ -	\$ -	\$ -	12/17/2015	\$ 11,650.62	\$ -	\$ 11,650.62	05/03/2019	1,233, then 448	\$ -	\$ 11,650.62	(cumulatively) \$ 0.01	(cumulatively) \$ 0.01	\$ -
<b>TOTALS</b>	<b>\$ 456,976.40</b>	<b>\$ 410,373.90</b>	<b>\$ 46,602.50</b>		<b>\$ 456,976.39</b>	<b>\$ 410,373.89</b>	<b>\$ 46,602.50</b>			<b>\$ 342,732.30</b> 75.00000%	<b>\$ 114,244.09</b> 25.00000%	<b>\$ 0.01</b> (underpayment due to rounding)	<b>\$ 0.01</b> (underpayment due to rounding)	<b>\$ -</b>

<sup>†</sup> - When approved by FEMA, an "Alternate Project" may be undertaken by an Applicant when a flood-damaged facility will not be built back to the same design and/or function/purpose as existed pre-disaster. In such cases, reimbursement is limited to 90% of the damages determined by FEMA to have been sustained.

<sup>††</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

in addition to  
Net Amount  
Unpaid/Missing,  
Remaining/  
as yet Requested  
for Damages

\$ -

**DR-4031 PW-02555v1 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE**

(PW-02555v1: Biological Aerated Filtration Complex [BAF])

Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): **\$ 3,692,638.00**<sup>1</sup>

(This PW was pursued as an "Improved Project"<sup>†</sup>)

PPR <sup>††</sup> #	Amount of Reimbursement Request	Requested for Damages	Requested for DAC*	Date of Request	Amount Received	Received for Damages	Received for DAC*	Date Received	# of Days Elapsed	FEMA \$\$ Received	NYS-DHSES \$\$ Received	Net Amount Unpaid/Missing **	for Damages	for DAC*
#1	\$ 618,990.26	\$ 530,516.79	\$ 88,473.47	11/30/2015	\$ 348,388.14	\$ 259,914.67	\$ 88,473.47	05/25/2017	542, then 707	\$ 348,388.14	\$ -	\$ 270,602.12	\$ 270,602.12	\$ -
" (installment)	\$ -	\$ -	\$ -	11/30/2015	\$ 116,129.38	\$ 116,129.38	\$ -	03/26/2018	847, then 854	\$ -	\$ 116,129.38	\$ 154,472.74	\$ 154,472.74	\$ -
#2	\$ 1,038,196.01	\$ 996,346.01	\$ 41,850.00	11/10/2016	\$ 1,063,416.75	\$ 1,021,566.75	\$ 41,850.00	11/06/2017	361	\$ 1,063,416.75	\$ -	\$ 129,252.00	\$ 129,252.00	\$ -
#3	\$ 880,265.99	\$ 846,454.84	\$ 33,811.15	6/18/2017	\$ 354,472.25	\$ 320,661.10	\$ 33,811.15	04/02/2018	288, then 2,027	\$ -	\$ 354,472.25	\$ 655,045.74	\$ 655,045.74	\$ -
#4	\$ 887,072.00	\$ 748,796.35	\$ 138,275.65	12/23/2019	\$ -	\$ -	\$ -			\$ -	\$ -	\$ 1,542,117.74 **	\$ 1,403,842.09	\$ 138,275.65
... #3														
" (installment)	\$ -	\$ -	\$ -	6/18/2017	\$ 375,000.00	\$ 375,000.00	\$ -	05/05/2021	1,417	\$ 375,000.00	\$ -	\$ 1,167,117.74	\$ 1,028,842.09	\$ -
... #3														
" (installment)	\$ -	\$ -	\$ -	6/18/2017	\$ 125,000.00	\$ 125,000.00	\$ -	06/03/2021	1,446	\$ -	\$ 125,000.00	\$ 1,042,117.74	\$ 903,842.09	\$ -
FIR (closeout)	\$ 123,324.95	\$ 105,708.92	\$ 17,616.03	5/23/2022	\$ -	\$ -	\$ -	n/a		\$ -	\$ -	\$ 1,165,442.69	\$ 1,009,551.01	\$ 17,616.03
\$\$ (installment)				n/a	\$ 1,165,442.69	\$ 1,009,551.01	\$ 155,891.68	01/05/2023	2,027, then 1,109, then 227	\$ 874,082.02	\$ 291,360.67	\$ -	\$ -	\$ -
<b>TOTALS</b>	<b>\$ 3,547,849.21</b>	<b>\$ 3,227,822.91</b>	<b>\$ 320,026.30</b>		<b>\$ 3,547,849.21</b>	<b>\$ 3,227,822.91</b>	<b>\$ 320,026.30</b>			<b>\$ 2,660,886.91</b> 75.00000%	<b>\$ 886,962.30</b> 25.00000%	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

<sup>†</sup> - an "Improved Project" involves restoring and/or building-back the applicable infrastructure to resume its prior function, but with updated engineering/design and/or to a more modern state-of-the-art with updated equipment/configuration.

<sup>††</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

<sup>1</sup> - the NYS-DHSES draft Final Inspection Report (FIR) made an additional \$464,815.09 insurance reduction/offset against the Applicant's "Obligated Amount" in relation to Actual or Anticipated Flood Insurance Proceeds in excess of the Flood Insurance actually in force as to flood-insurable buildings.

in addition to  
Net Amount  
Unpaid/Missing,  
Remaining/  
as yet Requested  
for Damages  
\$ -

DR-4031 PW-02573v0 REIMBURSEMENT SUBMITTALS AND PROGRESS PAYMENTS RECEIVED/DUE

(PW-02573v0: Valve Gearboxes)

Category: F (Permanent Repair Work [Public Utility])

Obligated Amount (for Damages): \$ 897,679.00

(This PW was pursued as an "Improved Project"<sup>†</sup>)

PPR <sup>††</sup> #	Amount of Reimbursement Request				Amount Received				# of Days Elapsed	FEMA \$\$ Received		NYS-DHSES \$\$ Received		Net Amount Unpaid/Missing **			
	Requested for Damages	Requested for DAC*	Date of Request		Received for Damages	Received for DAC*	Date Received			for Damages	for DAC*	for Damages	for DAC*	for Damages	for DAC*		
#1	\$ 338,882.28	\$ 327,188.53	\$ 11,693.75	6/17/2016	▼	▼	▼										
" (correction)	\$ (275,041.75)	\$ (275,041.75)	\$ -	n/a	\$ 63,840.53	\$ 52,146.78	\$ 11,693.75	11/08/2016	144	\$ 47,880.40	\$ 15,960.13	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
#2	\$ 166,825.26	\$ 154,890.26	\$ 11,935.00	11/28/2016	\$ 125,118.95	\$ 113,183.95	\$ 11,935.00	03/17/2017	109	\$ 125,118.95	\$ -	\$ 41,706.31	\$ 41,706.31	\$ -	\$ -	\$ -	\$ -
" (balance)	\$ -	\$ -	\$ -	11/28/2016	\$ 41,706.31	\$ 41,706.31	\$ -	03/26/2018	483	\$ -	\$ 41,706.31	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
#3	\$ 442,593.66	\$ 419,439.76	\$ 23,153.90	12/23/2019	\$ 436,294.41	\$ 419,439.76	\$ 16,854.65	09/16/2022 <sup>P</sup>	998	\$ 327,220.81	\$ 109,073.60	\$ 6,299.25	\$ -	\$ 6,299.25	\$ -	\$ -	\$ -
FIR (closeout)	\$ 264,902.95	\$ 271,202.20	\$ (6,299.25) <sup>1</sup>	5/23/2022	\$ 230,718.80	\$ 230,718.80	\$ -	09/16/2022 <sup>P</sup>	116, then	\$ 173,039.10	\$ 57,679.70	\$ 40,483.40 (cumulatively)	\$ 40,483.40 (cumulatively)	\$ (6,299.25)	\$ -	\$ -	\$ -
\$\$ (installment)			n/a		\$ 40,483.40	\$ 40,483.40	\$ -	11/28/2022	189	\$ 30,362.55	\$ 10,120.85	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>TOTALS</b>	<b>\$ 938,162.40</b>	<b>\$ 897,679.00</b>	<b>\$ 40,483.40</b>		<b>\$ 938,162.40</b>	<b>\$ 897,679.00</b>	<b>\$ 40,483.40</b>			<b>\$ 703,621.81</b> 75.00000%	<b>\$ 234,540.59</b> 25.00000%	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

<sup>†</sup> - an "Improved Project" involves restoring and/or building-back the applicable infrastructure to resume its prior function, but with updated engineering /design and/or to a more modern state-of-the-art with updated equipment /configuration.

<sup>††</sup> - "PPR" is an acronym for "Project Payment Request".

\* - "DAC" is an acronym for "Direct Administrative Costs" (to which a FEMA Applicant is entitled [to reimburse it for its costs incurred in administration of a Project Worksheet (PW)]).

\*\* - prior to Project Worksheet (PW) close-out, Progress Payments are capped at 75% of the Obligated Amount.

<sup>P</sup> - on 09/16/2022, NYS Check No. 08916033 in the amount of \$667,013.21 was received and allocated \$436,294.41 in payment toward PPR #3 (\$419,439.76 for Damages + \$16,854.65 for DAC) and in partial payment toward the Final Inspection Report [FIR] (\$230,718.80 for Damages).

<sup>1</sup> - the NYS-DHSES Final Inspection Report (FIR) made a \$6,299.25 reduction/disallowance to the Applicant's requested DAC.

in addition to  
Net Amount  
Unpaid/Missing,  
Remaining/  
as yet Requested  
for Damages  
\$ -